UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Offic

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Art Unit

SERIAL NUMBER		FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
P-105,170		11-Dec-01	ULRICH BAUR, ET AL.	DE9-2000-0072-US1
Title:	SELFTEST FOR LEAKAGE FOR DRIVER/RECEIVER STAGES			

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Paper Number

'JAN 2 5 2002

LICENSING & REVIEW

Please find attached a communication from the Examiner regarding the Petition for Retroactive License under 37 CFR 5.25.

UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231

PATENT & TRADEMARK OFFICE MAILED

Robert Walsh
IP Law Dept., IBM CORPORATION
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JAN 2 5 2002

LICENSING & REVIEW

In re:

Baur et al

DECISION ON REQUEST

Serial No.:

P-105,170

UNDER 37 CFR 5.25

Filing date:

n/a

Docket No:

DE9-2000-0072-US1

Title: SELF-TEST FOR LEAKAGE FOR DRIVER/RECEIVER STAGES

This is a decision on the petition filed on 11 December 2001 for retroactive foreign filing license.

37 CFR 5.25(a) requires the following:

- 1. A listing of each of the foreign countries in which the unlicensed patent application material was filed,
- 2. The dates on which the material was filed in each country,
- 3. A verified statement (oath or declaration) containing:
 - i. An averment that the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order,
- ii. A showing that the license has been diligently sought after discovery of the proscribed foreign filing, and
- iii. An explanation of why the material was filed abroad through error and without deceptive intent without the required license under § 5.11 first having been obtained, and
- 4. The required fee (§ 1.17(h)).

The petition is **Denied** at this time in that the petition is defective since the requirements set forth in 37 C.F.R. 5.25(a)(3)(iii) have not been met.

Declarants have not established error. Specifically, Declaants aver that because the invention was developed primarily in Germany through conference calls with US citizens residing in the US, then the invention was not made in the US. This is not indicia of error in filing since the declarants do not state that they believe a foreign filing license was required. Nowhere do the declarants aver that an error occurred and nowhere do declarants state what steps, if any, are undertaken to insure such an oversight does not occur in the future. An "oversight" is not effectively an "error" under 37 CFR 5.25. The mere conclusion that an error occurred through oversight, without more, falls short of what the regulations required.

Accordingly, the provisions of 37 CFR 5.25 not having been fully met, the petition is denied, and in the absence of any response within 60 days of the mailing date of this letter, such denial will be made final and the final action under 35 U.S.C. 185 will be taken. Extensions of time may be had under 37 C.F.R. 1.136(a).

Tan Lobo

Patent Examiner (703) 306-4161